



OFFICE OF RESEARCH, INNOVATION AND COMMERCIALIZATION, GOMAL UNIVERSITY, DERA ISMAIL KHAN



Policy on Grant of Financial Award for Research Publications and University Best Researcher Award (Research Productivity Award/RPA)

1. Responsible Party:

Office of Research, Innovation & Commercialization (ORIC)

2. Background

Gomal University vision and mission supports academic and applied industrial research and development activities in order to become world-class *Technology Driven Research University*. GOMAL UNIVERSITY encourages, facilitates and financially supports such research activities. Our faculty and students are fully motivated and have been actively participating and contributing largely through their publications in global knowledge and earning respect and prestige at international academic forums. Faculty members, researchers and students are encouraged to follow objectives mentioned in section 4 of this policy. To encourage faculty members a policy on financial award is proposed.

3. Aim

To outline a policy for grant of financial award for the publication of research papers in reputed national /international Institute for Scientific Information (ISI) indexed journals and best researcher award.

4. Objectives

- a. Foster culture of innovation through applied industrial research.
- a. Encourage researchers to publish papers in leading international impact factor/HEC recognized journals.
- b. Improve university ranking by publishing high quality research papers.
- c. Encourage active collaborations between industry – academia both at national and international level through joint research publications.
- d. Generating healthy research competition amongst GU faculty members.
- e. Recognition and award to best researcher of the university on annual basis.

5. Scientific Publications

The roots of scholarly scientific research publication can be traced to year 1665, when Henry Oldenburg of the British Royal Society established the Philosophical Transactions of the Royal



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Society. The aim of the novel publication is to create a record of original contributions to knowledge and to encourage scientists to “speak” directly to one another. Publication of research work in the form of books, monographs, articles and scientific research papers and other original works are the means by which researchers communicate scholarly outcome and build their reputation among their peers. The process of peer-reviewed publication is a competitive one where numerous articles and research papers are presented, however, few are articles are accepted and peer-reviewed process.

6. Importance of Scientific Publication

Writing is the most important means of communicating scientific work. Research and publication complement teaching and training and gives new ideas for technology development. Publications can also be regarded as an asset that enables authors to gain recognition and acknowledgement as experts in a particular field at national and international levels. Publication in peer-reviewed journals

7. Eligibility – Journal Papers, Book / Book Chapter

Any faculty member, researcher or student who successfully publishes the results of his/her research work in a HEC recognized national / international ISI indexed journal, or publishes a book/book chapter under GU name, will be financially rewarded by GU to acknowledge his/her achievements/contributions, under the following guidelines: -

- a. **Faculty Members and Researchers.** The faculty members and researchers can apply for the award after the work is published.
- b. **Students.** The undergraduate and graduate students can apply for the award after the work is published. Recommendation from student project / thesis supervisor will be ~~and~~ along with the filled form.
- c. **Procedure.** Requests shall be forwarded to the director ORIC after publishing the journal paper or book/book chapter for processing and approval, as per the attached Check List (Annex A) and Application Form (Annex B).

8. Eligibility for Journal Publication

Maximum, ten authors from GU including corresponding author will be considered for financial award of research paper publication, meeting following eligibility conditions: -

- a. Author’s professional affiliation with “GOMAL University D.I.Khan, Pakistan” is mandatory for the consideration of award under this policy. Author’s affiliation mentioned in author list will only be accepted and anything mentioned in biography will not be considered.
- b. **Impact Factor (IF)** journal indexed with Institute of Scientific Information (ISI)/



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HEC is mandatory for financial award.

- c. The particular research paper must not have been rewarded previously as per the policy.
- d. The particular research paper must be available online with a specific DOI number/page number.
- e. Maximum up to **five research papers per faculty member per year** will be considered for award under this policy
- f. Publication related to industrial product/ technology development/ device development shall be considered for grant of patent (submission in intellectual property organization, Pakistan) and subsequently for commercialization by ORIC.
- g. Submission of all patent documents (as an outcome of applied research) to IPO, Pakistan and further actions for the grant of patent shall be taken by ORIC.
- h. A book or chapter published in a book will be processed under this policy on case to case basis giving due consideration to the recommendations and justifications by the institution
- i. Book(s) published by Lap Lambert, Nova publisher, Intech publisher or any other excluded by HEC for award will not be considered for award.
- j. Publications under “Letters to the Editor”, “Editorial”, “Book Review”, “Research Note”, “Conference paper”, “Abstracts”, “Notes”, “Short Paper / Study”, “Discussion & Comments”, “Erratum and Corrigendum (correction of a published text)” etc. will not be considered for award under this policy.
- k. The award amount for a particular author will be divided to the number of affiliations mentioned in the paper. For example, if the author has 02 affiliations, the financial award will be divided equally among author affiliations.
- l. PhD Thesis published in the form of a book will not be considered under the policy, research paper published out of PhD thesis data will be considered for publication.

8. Financial Awards

Publication of journal papers and books/book chapters improve international ranking of the university and is beneficial for accreditation of degree programs. The details of financial awards for various categories are mentioned below: -

- | | | |
|----|-------------------------|-----------|
| a. | Book published: | Rs 15,000 |
| b. | Book chapter published: | Rs 3,000 |



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- c. Paper published with Impact factor between 1.0 and 2.0: Rs 2500
d. Paper published with Impact factor greater than 2.0: Rs 5,000

9. **Share of single GU author** in any number of authors is position dependent as per following: -

- (1) 100% of total award in case of single (sole) author
- (2) 80 % of total award in case of 1st ordinal position
- (3) 60 % of total award in case of 2nd ordinal position
- (4) 40 % of total award in case of 3rd ordinal position
- (5) 20 % of total award in case of 4th ordinal position
- (6) The share of single GU author on 5th and onward position to be fixed to 10% of total award calculation.
- (7) 40 % of total award in case of corresponding author (if not in top 2 positions). The 3rd ordinal position will then shift to 4th.

10. **Share of multiple GU authors** in a single publication are as under: -

a. If the ordinal position of GU Author (s) is between 1 to 4: -

No of Authors	Order of Authors	Percentage of Share
(1)	Two	1 st Author 60%
		2 nd Author 40%
(2)	Three	1 st Author 50%



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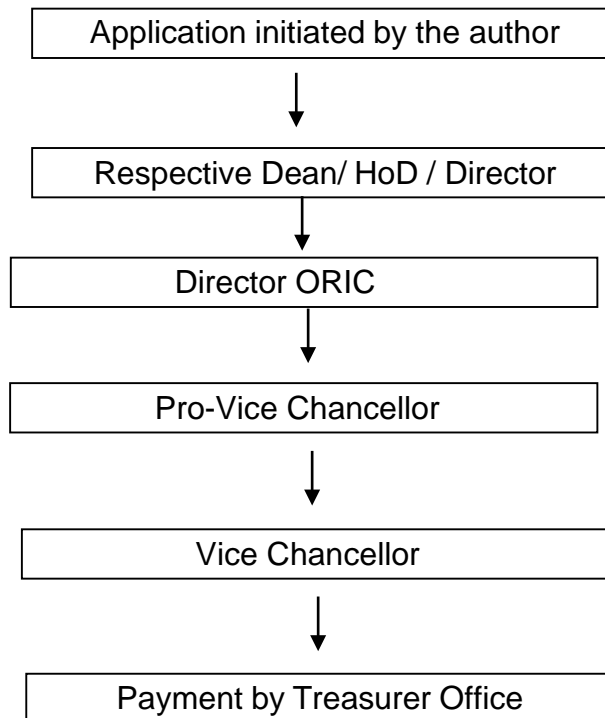
		2 nd Author	35%
		3 rd Author	15%
(3)	Four	1 st Author	45%
		2 nd Author	30%
		3 rd Author	15%
		4 th Author	10%

- b. If ordinal position of any of first four GU authors is 5th onward, the percentage share will 10% of total award calculation.

11. Processing of Journal Publication for Award at GU

An author will submit application for the award on a prescribed form along with full publication and necessary documents. ORIC will do necessary processing with information and initial scrutiny of the publication. ORIC will check and confirm evidence of published research paper/ journal / book/ book chapter, / book quality, appropriate GU affiliation and plagiarism etc. The processing of application is mentioned in flow diagram and submission of documents as

Process Flow Diagram for Processing





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8. **Best Researcher Award Policy**

As part of GU vision and mission to promote research and to encourage and motivate researchers to cultivate passion for innovation and novel research, GU will select best researchers for the grant of **University Best Researcher Award** on annual basis (i.e. Calendar year performance). It will consist of a certificate of recognition from the **Vice Chancellor along with a cash prize of Rs 30,000/-** Following procedure for the selection of award will be adopted: -

- a. Each department will recommend one researcher (must hold a regular “faculty position” at GU) on the prescribed form along with supporting documents through proper channel.
- b. Final selection/approval of **Best Researcher Awards** shall be made by Director ORIC based on the recommendations of University Evaluation Committee (UEC) for necessary assessment as per following composition: -

- (1) VC - President
- (2) Registrar - Member
- (3) *Dean’s Nominee -Member
- (4) Dir ORIC - Member
- (5) Dir QEC - Member
- (6) Any other member (s), if deemed essential.

**One dean will be selected by VC among all deans*

- c. **Eligibility Criteria for Best Researcher Award** is as under: -

- (1) Minimum two years’ service at GU is essential.
- (2) Once awarded, re-nomination shall be considered after two years.
- (3) Data for the calendar year will only be applicable.
- (4) Research projects processed through or intimated to ORIC with proof of funds released will only be counted.
- (5) Publications (published papers only), projects, research grants, patents, student supervision etc. related to GU will only be considered.



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9. Evaluation and Opinion

Policy on Grant of Financial Award for Research Publications/Book/ Book Chapter and Best Researcher Award Policy has been formulated while considering best practices being followed by international universities and procedures adopted by leading national universities to make policy effective and competitive for faculty and researches thus promoting research culture at GU. Financial Award will be awarded as and when claimed by the faculty members/ researchers/ students on a monthly cycle while Best Researcher award will be awarded on annual basis.

Type	Nature of Publication	Score	Remarks
1	ISI INDEXED WITH IF ONLY 1-2	5/paper	If the researcher is 1 st author or CA then 1 extra score
2	ISI INDEXED WITH IF ONLY 2.1-5	10/paper	If the researcher is 1 st author or CA then 1 extra score
3	ISI INDEXED WITH IF ONLY >5	15/paper	If the researcher is 1 st author or CA then 1 extra score
4	HEC HJRS RECOGNIZED "W" CATEGORY JP	5/paper	If the researcher is 1 st author or CA then 1 extra score. Among serial 1-6, only one serial will be considered if the applicant falls in more than one serial simultaneously.
5	HEC HJRS RECOGNIZED "X" CATEGORY JP	2/paper	If the researcher is 1 st author or CA then 1 extra score

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6	HEC HJRS RECOGNIZED "Y" CATEGORY JP	<i>1/paper</i>	<i>If the researcher is 1st author or CA then 0.5 extra score</i>
7	Google scholar cumulative citations 1-1000	<i>3.0</i>	<i>Google scholar account must be with official email account</i>
8	Google scholar cumulative citations 1001-2000	<i>5.0</i>	<i>Google scholar account must be with official email account</i>
9	Google scholar cumulative citations 2001-3000	<i>7.0</i>	<i>Google scholar account must be with official email account</i>
10	Google scholar cumulative citations >3000	<i>10.0</i>	<i>Google scholar account must be with official email account</i>
11	ISI cumulative IF 1-10	<i>5.0</i>	<i>It implies to only the financial year where the award offer</i>
12	ISI cumulative IF 10.1-20	<i>7.0</i>	<i>It implies to only the financial year where the award offer</i>
13	ISI cumulative IF 20.1-40	<i>10</i>	<i>It implies to only the financial year where the award offer</i>
14	ISI cumulative IF >40	<i>20</i>	<i>It implies to only the financial year where the award offer</i>

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15	Research project executed in last 10 years	1 score/1 million PKR	If the funding is in foreign currency then conversion rate will be according to the date of approval
16	Research project approved in last 5 years	0.5 score/1 million PKR	If the funding is in foreign currency then conversion rate will be according to the date of approval
17	Patent registered during last 5 years	5 score/patent	Only applicable if the applicant is 1 st author. Only US/European/Pakistani patent applicable
18	Web of science profile Paper reviewed during last 2 years 1-10 reviews	2.0	Proof must be provided with screen shots
19	Web of science profile Paper reviewed during last 2 years 11-50 reviews	5.0	Proof must be provided with screen shots
20	Web of science profile Paper reviewed during last 2 years >50 reviews	10.0	Proof must be provided with screen shots
21	Web of science profile As editor during last 2 years 1-10 papers	2.0	Proof must be provided with screen shots
22	Web of science profile As editor during last 2 years >10 papers	10.0	Proof must be provided with screen shots
23	h-index cumulative 1-10	1.0	Proof must be provided with screen shots

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24	h-index cumulative 11-20	2.0	<i>Proof must be provided with screen shots</i>
25	h-index cumulative >20	3.0	<i>Proof must be provided with screen shots</i>
26	No. of PhD supervised During last 2 years	5.0 <i>score/Ph D student</i>	<i>As supervisor</i>
27	No. of PhD supervised During last 2 years	2.5 <i>score/Ph D student</i>	<i>As CO-supervisor</i>
28	No. of M.Phil. supervised During last 2 years	2.0 <i>score/M. Phil student</i>	<i>As supervisor</i>
29	No. of M.Phil. supervised During last 2 years	1 <i>score/M. Phil student</i>	<i>As CO-supervisor</i>
30	PhD/M.Phil students for external evaluation During last 2 years	2 <i>score/stu dent</i>	<i>Invitation letter from the host university must be provided</i>
31	Seminar/workshop/conference Organized or resource person	5.0/event	<i>Event will be considered in last 2 years</i>

10. **Conclusion**

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These awards will boost the morale of the faculty and encourage them to participate in research activities and publish their research thus contributing in the ranking of the university.

11. Recommendation

- a. Following policies as presented in this working paper may be approved: -
 - (1) Policy on Grant of Financial Award for Publication of Research Paper/
Book
/ Book Chapter.
 - (2) Best Researcher Award Policy
- b. Financial award to GU faculty/ researchers may be approved for the GU affiliated publications published since the date of approval of GU charter.

Prepared by: ORIC directorate

Checked by:

Approved by:

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